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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/302,863	04/30/1999	RAYMOND G. GOODWIN	2519	7568

22932 7590 08/08/2006

IMMUNEX CORPORATION  
LAW DEPARTMENT  
1201 AMGEN COURT WEST  
SEATTLE, WA 98119

EXAMINER

ROMEO, DAVID S

ART UNIT PAPER NUMBER

1647

DATE MAILED: 08/08/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No. 09/302,863	Applicant(s) GOODWIN ET AL.	
	Examiner David S. Romeo	Art Unit 1647	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 02 November 2004.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 15-30, 32, 35 and 37-40 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 15-30, 32, 35 and 37-40 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)             | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

## DETAILED ACTION

*Ex parte* prosecution is resumed.

Claims 15–30, 32, 35 and 37–40 are pending.

### New Formal Matters, Objections, and/or Rejections:

#### 5 *Claim Rejections - 35 USC § 103*

Claims 15–16, 19–21, 23–25, 27–30, 32, 35, and 37–40 rejected under 35 U.S.C. 103(a) as being unpatentable over Gross (U. S. Publication No. 20060067933) in view of Bram (WO 98/39361) and Yu (WO 98/18921).

10 Gross discloses that TACI (WIPO Publication WO 98/39361) binds to the TNF ligand neutrokin- $\alpha$  (WIPO Publication, WO 98/18921) (paragraphs [0003]-[0004]). BR43x2, TACI, and BCMA would be useful to regulate the activity of ztnf4 in particular, the activation of B cells (paragraph [0004]). The disclosure relied upon in Gross has an effective filing date of 01/07/1999 obtained via U.S. Provisional application No. 60/115,068.

15 TACI is identical to the present application's SEQ ID NO: 2, and neutrokin- $\alpha$  is identical to the present application's SEQ ID NO: 4, as indicated below, respectively:

20 Title: >US-09-302-863-2  
Description: (1-293) from US09302863.pep  
Perfect Score: 2210  
Sequence: 1 MSGLGRSRRGGRSRVDQEER.....IPDSGLGIVCPAQEGGPGA 293  
Scoring table: PAM 150  
Gap 11  
Database: a-geneseq35

#### SUMMARIES

30

Result No.	Score	% Query Match	Length	DB	ID	Description	Pred. No.
1	2210	100.0	293	36	W75783	Human lymphocyte surf	6.58e-223

#### ALIGNMENTS

35

RESULT 1  
ID W75783 standard; Protein; 293 AA.

AC W75783;  
DT 18-JAN-1999 (first entry)  
DE Human lymphocyte surface receptor TACI.  
KW TACI; transmembrane activator and CAML-interactor;  
KW calcium signal-modulating cyclophilin ligand; human;  
KW lymphocyte surface receptor; human; B-cell; B lymphocyte;  
KW infection; cancer; rheumatoid arthritis; autoimmune disease;  
KW glomerulonephritis; immunosuppressive; graft versus host disease;  
KW transplant rejection; therapy.

PH	Key	Location/Qualifiers
FT	Domain	1..166
FT		/label= Extracellular_domain
FT		/note= "Claim 8"
FT	Domain	167..186
FT		/label= Transmembrane_domain
FT	Domain	187..294
FT		/label= Cytoplasmic_domain
FT		/note= "Claim 6"
FT	Peptide	34..71
FT		/note= "TNFR NGFR motif"

SQ Sequence 293 AA;

Db 1 msglgrsrrgrsrvdgeerfpqglwtgvamrscpeeaywdpllgctmsckticnhqsqr 60  
|||  
Qy 1 MSGLGRSRRGRSRVDOERFPOGLWTGVAMRSCPEEOYWDPLLGCTMSCKTICNHOSOR 60

Db 61 tcaafcrslscrkeggkfydhllrdciscasicgghpkqcaayfcenklrspvnlppeirr 120  
|||  
Qy 61 TCAAFCRSLSCRKEGGKFYDHLRLDRCISCASICGQHPKQCAAYFCENKLRSPVNLPPPEIRR 120

Db 121 qrsgevennsdmsgrygglehrgeaspalpglklsadqvalvystlglclcavllccflv 180  
|||  
Qy 121 QRSGEVENNSDMSGRYGLEHRRGEASPALPGLKLSADOVALVYSTLGLCLCAVLLCCFLV 180

Db 181 avacflkkrgdpcscgprsrprqspakssqdameagspvstspepvetsfcfpecrap 240  
|||||  
Qy 181 AVACFLKKRGDPCSCGPRSRPROSPAKSSODHAMEAGSPVSTSPPEVETSCFCFPECRAP 240

Db      241 tgesavtpgtpdptcagrwgchtrttvlqpcphipds glivcvpaegggpgga 293  
         |||||  
Qy    241 TGESAVTPGTPDPTCAGRWGCHTRTTVLQPCPHIPDSGLIVCVPAOEGGGPGA 293

Scoring table: PAM 150  
Gap 11

Art Unit: 1647

Searched: 170751 seqs, 21266608 residues

Post-processing: Minimum Match 0%  
Listing first 45 summaries

Database: a-geneseq35

## SUMMARIES

Result No.	Score	Match	Length	DB	ID	Description	Pred. No.
3	1998	100.0	285	32	W58391	Homo sapiens neutroki	6.52e-172

## ALIGNMENTS

RESULT 3

ID W58391 standard; Protein; 285 AA.

AC W58391;

DT 11-SEP-1998 (first entry)

DE Homo sapiens neutrokin alpha protein.

KW neutrokin alpha; cell proliferation; differentiation; migration;

KW cytotoxicity; cell death; treatment; tumour; infection; inflammation;

KW wound healing; immunodeficiency; autoimmune disease; graft rejection;

KW fibrotic disorder; haematopoiesis; sepsis; shock; malaria; HIV; AIDS;

KW acquired immune deficiency syndrome; rheumatoid arthritis; silicosis;

KW cachexia; detection; diagnosis; drug screening.

OS Homo sapiens.

FH Key Location/Qualifiers

FT Domain 1..46

FT /note= "intracellular domain"

FT Domain 47..72

FT /note= "transmembrane domain"

FT Domain 73..285

FT /note= "extracellular domain"

PN W09818921-A1.

PD 07-MAY-1998.

PF 25-OCT-1996; U17957.

PR 25-OCT-1996; WO-U17957.

PA (HUMA-) HUMAN GENOME SCI INC.

PI Ebner R, Ni J, Yu G;

DR WPI; 98-272216/24.

DR N-PSDB; V30934.

PT New isolated human Neutrokin alpha - used to develop products for

PT diagnosis and treatment of e.g. tumours, infections,

PT immunodeficiencies or autoimmune diseases

PS Claim 17; Fig 1; 104pp; English.

CC The sequence is that of the neutrokin alpha protein.

CC Neutrokin alpha (NA) polypeptides modulate cell proliferation,

CC differentiation, migration, cytotoxicity and cell death.

CC They can be used to treat e.g. tumour and tumour metastasis, infections

CC by bacteria, viruses and other parasites, immunodeficiencies,

CC inflammatory diseases, lymphadenopathy, autoimmune diseases, graft

CC versus host disease and to stimulate peripheral tolerance, destroy some

CC transformed cell lines, mediate cell activation and proliferation, and

CC are functionally linked as primary mediators of immune regulation and

CC inflammatory responses. Such activity is useful for immune enhancement

CC or suppression, myeloprotection, stem cell mobilisation, acute and

CC chronic inflammatory control and treatment of leukaemia. They can also

CC be used to stimulate wound healing and to treat fibrotic disorders

CC including liver cirrhosis, osteoarthritis and pulmonary fibrosis. They

CC can also be used to regulate haematopoiesis, by regulating the activation

CC and differentiation of various haematopoietic progenitor cells, e.g. to

CC release mature leukocytes from the bone marrow following chemotherapy,

CC and in stem cell mobilisation. NA may also be used to treat sepsis. NA

CC antagonists can be used to prevent septic shock, inflammation, cerebral

CC malaria, activation of the HIV virus, graft-host rejection, bone

Art Unit: 1647

CC resorption, rheumatoid arthritis and cachexia (wasting or malnutrition).  
 CC They can also be used to treat e.g. autoimmune diseases such as multiple  
 CC sclerosis and insulin-dependent diabetes and inflammatory and infectious  
 CC diseases such as silicosis, and sarcoidosis, idiopathic pulmonary  
 5 CC fibrosis, idiopathic hyper-eosinophilic syndrome, endotoxic shock,  
 CC atherosclerosis, histamine-mediated allergic reactions and immunological  
 CC disorders including late phase allergic reactions, chronic urticaria, and  
 CC atopic dermatitis by inhibiting chemokine-induced mast cell and basophil  
 10 CC degranulation and release of histamine. IgE-mediated allergic reactions  
 CC such as allergic asthma, rhinitis and eczema, inflammatory pulmonary  
 CC diseases, rheumatoid arthritis, inflammation, degenerative and  
 CC inflammatory arthropathies, aplastic anaemia, myelodysplastic syndrome,  
 CC subepithelial basement membrane fibrosis or adult respiratory distress  
 CC syndrome. The products can also be used for detection, diagnosis and  
 15 CC drug screening.  
 SQ Sequence 285 AA;

Query Match 100.0%; Score 1998; DB 32; Length 285;

Best Local Similarity 100.0%; Pred. No. 6.52e-172;

Matches 285; Conservative 0; Mismatches 0; Indels 0; Gaps 0;

Db 1 mddstereqsrlltsclkkreemklkecvsilprkespsvrsskdgkllaatl11lallsc 60  
 |||||  
 25 QY 1 MDDSTEREQSRLLTSCCLKKREEMKLKECVSILPRKESPSVRSSKDGKLLAATLLALLSC 60  
 |||||  
 Db 61 ltvvsfyqvaalqgdslasrlaelqghhaeklpagagapkagleeapavtaglkifeppap 120  
 |||||  
 QY 61 LTVVSFYQVAALQGDLSLRAELQGHHAELPAGAGAPKAGLEEAPAVTAGLKIFEPPAP 120  
 |||||  
 30 Db 121 gegnssqnsrnrkravvggpeetvtqdclqliadsetptiqkgsytfvpwllsfkrgsalee 180  
 |||||  
 QY 121 GEGNSSQNSRNKRAVQGPETVTQDCLQLIADSETPTIQKGSYTFVPWLLSFKRGSAL EE 180  
 |||||  
 35 Db 181 kenkilvketygyffiygqvlytdktyamghliqrkkvhvfgdelslvtlfrciqnmpetl 240  
 |||||  
 QY 181 KENKILVKETGYFFIYGQVLYTDKTYAMGHLIQRKKVHVFGDELSLVTLFRCIQNMPETL 240  
 |||||  
 Db 241 pnnscysagiakleegdelqlaiprenaqisldgdtffgalkll 285  
 |||||  
 40 QY 241 PNNSCYSAGIAKLEEGDELQLAIPRENAQISLDGDTVTFGALKLL 285  
 |||||

Insofar as these amino acid sequences are identical, and insofar as Bram describes the  
 universe of all nucleic acid molecules encoding TACI and Yu describes the universe of all  
 nucleic acid molecules encoding neutrokin- $\alpha$ , then TACI is encoded by a nucleic acid molecule  
 45 that is at least 95% identical to SEQ ID NO: 1 and neutrokin- $\alpha$  is encoded by a nucleic acid  
 molecule that is at least 95% identical to SEQ ID NO: 3.

TACI is also encoded by a nucleic acid molecule that is identical to SEQ ID NO: 1, and  
 neutrokin- $\alpha$  is also encoded by a nucleic acid molecule that is identical to at least the coding  
 sequence of SEQ ID NO: 3, as indicated below, respectively:

50 Query Match 100.0%; Score 1377; DB 19; Length 1377;  
 Best Local Similarity 100.0%; Pred. No. 0;

Art Unit: 1647

Matches 1377; Conservative 0; Mismatches 0; Indels 0; Gaps 0;

5 Qy 1 agcatcctgagtaatgagtggcctgggcccggagcaggcgagggtggccggagccgtgtgga 60  
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  
Db 1 agcatcctgagtaatgagtggcctgggcccggagcaggcgagggtggccggagccgtgtgga 60

10 Qy 61 ccaggaggagcgctttccacagggcctgtggacgggggtggctatgagatcctgccccga 120  
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  
Db 61 ccaggaggagcgctttccacagggcctgtggacgggggtggctatgagatcctgccccga 120

15 Qy 121 agagcagtactgggatcctctgtgtgggtacctgcatgtcctgcaaaaccatttgcaacca 180  
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  
Db 121 agagcagtactgggatcctctgtgtgggtacctgcatgtcctgcaaaaccatttgcaacca 180

20 Qy 181 tcagagccagcgcacctgtgcagccttctgcaggtcactcagctgccgaaggagcaagg 240  
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  
Db 181 tcagagccagcgcacctgtgcagccttctgcaggtcactcagctgccgaaggagcaagg 240

25 Qy 241 caagttctatgaccatctcctgagggactgcatcagctgtgctccatctgtggacagca 300  
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  
Db 241 caagttctatgaccatctcctgagggactgcatcagctgtgctccatctgtggacagca 300

30 Qy 301 ccctaagcaatgtgcatacttctgtgagaacaagctcaggagcccagtgaaaccttccacc 360  
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  
Db 301 ccctaagcaatgtgcatacttctgtgagaacaagctcaggagcccagtgaaaccttccacc 360

35 Qy 361 agagctcaggagacagcggagtggagaagttgaaaacaattcagacaactcgggaaggta 420  
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  
Db 361 agagctcaggagacagcggagtggagaagttgaaaacaattcagacaactcgggaaggta 420

40 Qy 421 ccaaggattggagcacagaggctcagaagcaagtccagctctcccggggctgaagctgag 480  
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  
Db 421 ccaaggattggagcacagaggctcagaagcaagtccagctctcccggggctgaagctgag 480

45 Qy 481 tgcagatcagggtggccctgggtctacagcacgctggggctctgctgtgtgcccgtcctctg 540  
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  
Db 481 tgcagatcagggtggccctgggtctacagcacgctggggctctgctgtgtgcccgtcctctg 540

50 Qy 541 ctgcttctctgggtggcggtggcctgcttctcaagaagaggggggatccctgctcctgcc 600  
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  
Db 541 ctgcttctctgggtggcggtggcctgcttctcaagaagaggggggatccctgctcctgcc 600

55 Qy 601 gccccgctcaaggccccgtcaaagtccggccaagtcttcccaggatcacgcgatggaagc 660  
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  
Db 601 gccccgctcaaggccccgtcaaagtccggccaagtcttcccaggatcacgcgatggaagc 660

60 Qy 661 cggcagccctgtgagcacatcccccgagccagtggagacctgcagcttctgcttccctga 720  
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  
Db 661 cggcagccctgtgagcacatcccccgagccagtggagacctgcagcttctgcttccctga 720

65 Qy 721 gtgcaggggcgcccacgcaggagagcgcagtcacgcctgggacccccgacccacttgtgc 780  
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  
Db 721 gtgcaggggcgcccacgcaggagagcgcagtcacgcctgggacccccgacccacttgtgc 780

Qy 781 tggaaaggtgggggtgccacaccaggaccacagtcctgcagccttgccacacatcccaga 840  
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  
Db 781 tggaaaggtgggggtgccacaccaggaccacagtcctgcagccttgccacacatcccaga 840

Qy 841 cagtggccttggcattgtgtgtgtgcctgcccaggaggggggcccagggtgcataaatggg 900  
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  
Db 841 cagtggccttggcattgtgtgtgtgcctgcccaggaggggggcccagggtgcataaatggg 900

Qy 901 ggtcaggggaggggaaaggaggaggagagagatggagaggaggggagagagaaagagaggt 960  
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  
Db 901 ggtcaggggaggggaaaggaggaggagagagatggagaggaggggagagagaaagagaggt 960

Qy 961 ggggagaggggagagagatatgaggagagagagacagaggaggcagaaaggagagaaac 1020

30 Query Match 92.1%; Score 973; DB 19; Length 1100;  
Best Local Similarity 100.0%; Pred. No. 1.9e-231;  
Matches 973; Conservative 0; Mismatches 0; Indels 0; Gaps 0;

35	Qy	39	tcaaagttcaagtagtgatatggatgactccacagaaagggagcagtcacgccttacttc	98
	Db	128	tcaaagttcaagtagtgatatggatgactccacagaaagggagcagtcacgccttacttc	187
40	Qy	99	ttgccttaagaaaaagagaagaaatgaaactgaaggagtgtgtttccatcctcccacggaa	158
	Db	188	ttgccttaagaaaaagagaagaaatgaaactgaaggagtgtgtttccatcctcccacggaa	247
45	Qy	159	ggaaagccctctgtccgatcctccaaagacggaaagctgctggctgcaaccttgctgct	218
	Db	248	ggaaagccctctgtgtccgatcctccaaagacggaaagctgctggctgcaaccttgctgct	307
50	Qy	219	ggcactgctgtcttctgtgcctcacggtggtgtctttctaccaggtggcgccctgcaagg	278
	Db	308	ggcactgctgtcttctgtgcctcacggtggtgtctttctaccaggtggcgccctgcaagg	367
55	Qy	279	ggacctggccagcctccggggcagagctgcaggggccaccacgcggagaagctgccagcagg	338
	Db	368	ggacctggccagcctccggggcagagctgcaggggccaccacgcggagaagctgccagcagg	427
60	Qy	339	agcaggagcccccaggccggcctggaggaagctccagctgtcacccggggactgaaaaat	398
	Db	428	agcaggagcccccaggccggcctggaggaagctccagctgtcacccggggactgaaaaat	487
65	Qy	399	ctttgaaccaccagctccaggagaaggcaactccagtcagaacagcagaaataagcgtgc	458
	Db	488	ctttgaaccaccagctccaggagaaggcaactccagtcagaacagcagaaataagcgtgc	547
	Qy	459	cgttcagggtccagaagaacagtcactcaagactgcttgcaactgattgcagacagtga	518
	Db	548	cgttcagggtccagaagaacagtcactcaagactgcttgcaactgattgcagacagtga	607
65	Qy	519	aacaccaactatacaaaaaaggatcttacacatttgttccatggcttctcagctttaaag	578



Art Unit: 1647

Db 608 aacaccaactatacaaaaaaggatcttacacatttgttccatggcttctcagctttaaag 667  
 Qy 579 gggaagtgccttagaagaaaaagagaataaaatattggtaaagaaactgggtacttttt 638  
 5 Db 668 gggaagtgccttagaagaaaaagagaataaaatattggtaaagaaactgggtacttttt 727  
 Qy 639 tatatatggtcagggttttatatactgataagacctacgccatgggacatctaattcagag 698  
 10 Db 728 tatatatggtcagggttttatatactgataagacctacgccatgggacatctaattcagag 787  
 Qy 699 gaagaagggtccatgtctttgggatgaattgagctctggtgactttgttctgatgtattca 758  
 Db 788 gaagaagggtccatgtctttgggatgaattgagctctggtgactttgttctgatgtattca 847  
 15 Qy 759 aaatatgcctgaaacactaccaataattcctgtattcagctggcattgcaaaactgga 818  
 Db 848 aaatatgcctgaaacactaccaataattcctgtattcagctggcattgcaaaactgga 907  
 20 Qy 819 agaaggagatgaactccaacttgcaataccaagagaaaatgcacaaatatcactggatgg 878  
 Db 908 agaaggagatgaactccaacttgcaataccaagagaaaatgcacaaatatcactggatgg 967  
 Qy 879 agatgtcacattttttgggtgcattgaaactgctgtgacctacttacaccatgtctgtage 938  
 25 Db 968 agatgtcacattttttgggtgcattgaaactgctgtgacctacttacaccatgtctgtage 1027  
 Qy 939 tattttctccctttctctgtacctctaagaagaagaatctaactgaaaataccaaaaa 998  
 30 Db 1028 tattttctccctttctctgtacctctaagaagaagaatctaactgaaaataccaaaaa 1087  
 Qy 999 aaaaaaaaaaaaaa 1011  
 Db 1088 aaaaaaaaaaaaaa 1100

35 Regarding TACI and screening methods for agonist and antagonist thereto, Bram

discloses:

The receptor protein can be used to identify ligands of the protein receptor.  
 The soluble, extracellular domain can be used to inhibit cellular activation.  
 40 The protein may also be used for diagnostic purposes and for identifying  
 agents for modulating the calcium induced activation pathway. Page 3, last  
 full paragraph.

45 Either activating or inhibiting the function of the novel cell surface  
 receptor of the present invention can be used to treat cancers of T and B  
 cells. Page 4, full paragraph 1.

50 The antibodies of the present invention can be either monoclonal antibodies  
 or polyclonal antibodies. In one embodiment, the antibody is a monoclonal  
 antibody that is a chimeric antibody. Page 9, full paragraph 1.

When activated, the TACI protein stimulates the influx of calcium in  
 lymphocytes. Page 15, full paragraph 1.

55 In general, there is substantial interest in identifying specific components  
 of cellular pathways to allow for understanding an activation pathway,  
 selectively modulating that pathway, and developing drugs which may be active

Art Unit: 1647

in binding to the target protein. In this way, drugs can be screened to inhibit such specific pathways. Page 17, full paragraph 2.

5 Cross-linking the TACI protein activates calcium influx (page 18, full paragraph 1). The extracellular domain binds ligand. Upon ligand binding, the cytoplasmic domain binds CAML, thus initiating a  $\text{Ca}^{2+}$ -dependent activation pathway. Page 18, full paragraph 2.

10 A chimeric TACI protein of the invention may be a protein that is generated by joining a functional domain of a TACI protein, such as the ligand binding domain or the CAML-binding domain, with the complementary domain of another protein, e.g., an alternative receptor. Chimeric constructs can also be prepared with a functionally active fragment of a TACI protein and another functionally active molecule. For example, the extracellular domain of a TACI  
15 protein may be joined to the Fc domain of an immunoglobulin. Page 24, line 20 through page 25, line 21.

20 Monovalent antibody reagents can act to block access to TACI in lymphocytes (page 49, last full paragraph). Such antibodies include but are not limited to polyclonal, monoclonal, chimeric, single chain, Fab fragments, and an Fab expression library (paragraph bridging pages 49-50). Such human or humanized chimeric antibodies are preferred for use in therapy of human diseases or disorders, since the human or humanized antibodies are much less likely than xenogeneic antibodies to induce an immune response, in particular an allergic  
25 response, themselves (paragraph bridging pages 50-51). Such fragments include but are not limited to the  $\text{F(ab')}_2$  fragment (page 51, full paragraph 2).

30 The TACI protein can be used, to screen clones in order to identify the endogenous ligand(s). This ligand is likely to be involved in the regulation of the immune system as well, and thus should have similar or complementary uses to those described herein. Page 52, last full paragraph.

35 Any screening technique known in the art can be used to screen for TACI protein agonists or antagonists. The present invention contemplates screens for small molecule ligands or ligand analogs and mimics, as well as screens for the natural ligand(s) that bind to and agonize or antagonize the TACI protein in vivo. For example, natural products libraries can be screened using assays of the invention for molecules that agonize or antagonize the  
40 TACI protein activity, or that bind to the extracellular domain or cytoplasmic domain of TACI. Page 53, full paragraph 1.

45 Alternatively, assays for binding of soluble ligand to cells that express recombinant forms of the TACI N-Terminal extracellular domain can be performed. The soluble ligands can be provided readily as recombinant or synthetic polypeptides. The screening can be performed with recombinant cells that express TACI, or a fragment thereof, or alternatively, using purified protein, e.g., produced recombinantly, as described above. For  
50 example, the ability of labeled, soluble or solubilized TACI fragment to bind ligand can be used to screen libraries, as described in the foregoing references. Page 54, full paragraphs 1-2.

Art Unit: 1647

Regarding neutrokin- $\alpha$  and screening methods for agonist and antagonist thereto, Yu

discloses:

In another aspect, a method for identifying Neutrokin- $\alpha$  receptors is provided, as well as a screening assay for agonists and antagonists using such receptors. This assay involves determining the effect a candidate compound has on Neutrokin- $\alpha$  binding to the Neutrokin- $\alpha$  receptor. In particular, the method involves contacting a Neutrokin- $\alpha$  receptor with a Neutrokin- $\alpha$  polypeptide and a candidate compound and determining whether Neutrokin- $\alpha$  polypeptide binding to the Neutrokin- $\alpha$  receptor is increased or decreased due to the presence of the candidate compound. The antagonists may be employed to prevent septic shock, inflammation, cerebral malaria, activation of the HIV virus, graft-host rejection, bone resorption, rheumatoid arthritis and cachexia (wasting or malnutrition) (page 12, full paragraph 1).

In the assay of the invention for agonists or antagonists, a cellular compartment, such as a membrane or a preparation thereof, may be prepared from a cell that expresses a molecule that binds Neutrokin- $\alpha$  such as a molecule of a signaling or regulatory pathway modulated by Neutrokin- $\alpha$ . The preparation is incubated with labeled Neutrokin- $\alpha$  in the absence or the presence of a candidate molecule which may be a Neutrokin- $\alpha$  agonist or antagonist. The ability of the candidate molecule to bind the binding molecule is reflected in decreased binding of the labeled ligand. Molecules which bind gratuitously, i.e., without inducing the effects of Neutrokin- $\alpha$  on binding the Neutrokin- $\alpha$  binding molecule, are most likely to be good antagonists. Molecules that bind well and elicit effects that are the same as or closely related to Neutrokin- $\alpha$  are agonists.

Neutrokin- $\alpha$ -like effects of potential agonists and antagonists may be measured, for instance, by determining activity of a second messenger system following interaction of the candidate molecule with a cell or appropriate cell preparation, and comparing the effect with that of Neutrokin- $\alpha$  or molecules that elicit the same effects as Neutrokin- $\alpha$ . Second messenger systems that may be useful in this regard include but are not limited to AMP guanylate cyclase, ion channel or phosphoinositide hydrolysis second messenger systems. Page 55, full paragraph 1.

Another example of an assay for Neutrokin- $\alpha$  antagonists is a competitive assay that combines Neutrokin- $\alpha$  and a potential antagonist with membrane-bound receptor molecules or recombinant Neutrokin- $\alpha$  receptor molecules under appropriate conditions for a competitive inhibition assay. Neutrokin- $\alpha$  can be labeled, such as by radioactivity, such that the number of Neutrokin- $\alpha$  molecules bound to a receptor molecule can be determined accurately to assess the effectiveness of the potential antagonist. Page 55, full paragraph 2.

Potential antagonists include small organic molecules, peptides, polypeptides and antibodies that bind to a polypeptide of the invention and thereby inhibit or extinguish its activity. Potential antagonists also may be small organic molecules, a peptide, a polypeptide such as a closely related protein or antibody that binds the same sites on a binding molecule, such as a receptor molecule, without inducing Neutrokin- $\alpha$  induced activities, thereby

Art Unit: 1647

preventing the action of Neutrokin- $\alpha$  by excluding Neutrokin- $\alpha$  from binding.  
Page 55, full paragraph 3

5 Antibodies against Neutrokin- $\alpha$  may be employed to bind to and  
inhibit Neutrokin- $\alpha$  activity. Page 57, last full paragraph.

10 Neutrokin- $\alpha$  polypeptides can be combined with parts of the constant domain  
of immunoglobulins (IgG), resulting in chimeric polypeptides. These fusion  
proteins facilitate purification and show an increased half-life in vivo.  
Fusion proteins that have a disulfide-linked dimeric structure due to the IgG  
part can also be more efficient in binding and neutralizing other molecules  
than the monomeric Neutrokin- $\alpha$  protein or protein fragment alone. Paragraph  
bridging pages 41-42.

15 The term "antibody" (Ab) or "monoclonal antibody" (mAb) is meant to include  
intact molecules as well as fragments thereof (such as, for example, Fab and  
F(ab')<sub>2</sub> fragments) which are capable of binding an antigen. Fab, F(ab')<sub>2</sub> and  
20 F(ab') fragments lack the Fc fragment intact antibody, clear more rapidly  
from the circulation, and may have less non-specific tissue binding of an  
intact antibody. Page 46, full paragraph 1.

Bram and Yu do not teach that TACI and neutrokin- $\alpha$  bind.

However, it would have been obvious to one of ordinary skill in the art at the time of  
25 Applicants' invention to form a composition comprising (1) TACI, or fragment thereof that  
binds neutrokin- $\alpha$ , (2) neutrokin- $\alpha$ , or a fragment thereof that binds TACI, and (3) a test  
compound, assay for the level of interaction of TACI with neutrokin- $\alpha$ , and identify a test  
compound that affects the interaction with TACI and neutrokin- $\alpha$ , with a reasonable  
expectation of success. One of ordinary skill in the art would be motivated to make this  
30 modification in order to regulate the activity of B cells.

It would have been further obvious to one of ordinary skill in the art at the time of  
Applicants' invention to label the neutrokin- $\alpha$ , such as by radioactivity, with a reasonable  
expectation of success, such that the number of neutrokin- $\alpha$  molecules bound to TACI can be  
determined accurately to assess the effectiveness of the potential antagonist or agonist.

Art Unit: 1647

It would have been further obvious to one of ordinary skill in the art at the time of Applicants' invention to identify a human or humanized antibody that affects the interaction of TACI and neutrokin- $\alpha$ , with a reasonable expectation of success. One of ordinary skill in the art would be motivated to make this modification because human or humanized antibodies are preferred for use in therapy of human diseases or disorders, since the human or humanized antibodies are much less likely than xenogeneic antibodies to induce an immune response, in particular an allergic response, themselves. Such human or humanized antibodies comprise a Fab fragment or a F(ab')<sub>2</sub> fragment.

Selection of any order of performing process steps is prima facie obvious in the absence of new or unexpected results. Selection of any order of mixing ingredients is prima facie obvious.

It would have been further obvious to one of ordinary skill in the art at the time of Applicants' invention to form a composition comprising TACI, neutrokin- $\alpha$ , and a test compound, assay for the level of interaction of TACI with neutrokin- $\alpha$ , and identify a test compound that affects the interaction with TACI and neutrokin- $\alpha$ , wherein the neutrokin- $\alpha$  further comprises a Fc domain, with a reasonable expectation of success. One of ordinary skill in the art would be motivated to make his modification because fusion proteins that have a disulfide-linked dimeric structure due to the IgG part can also be more efficient in binding and neutralizing other molecules than the monomeric Neutrokin- $\alpha$  protein or protein fragment alone.

It would have been further obvious to one of ordinary skill in the art at the time of Applicants' invention to form a composition comprising TACI, neutrokin- $\alpha$ , and a test

Art Unit: 1647

compound, assay for the level of interaction of TACI with neutrokin- $\alpha$ , and identify a test compound that affects the interaction with TACI and neutrokin- $\alpha$ , wherein the TACI further comprises a Fc domain, with a reasonable expectation of success. One of ordinary skill in the art would be motivated to make his modification because it would have been obvious to one of  
5 ordinary skill in the art at the time of Applicants' invention that the soluble, extracellular domain of TACI can be used to inhibit cellular activation by binding neutrokin- $\alpha$  and fusion proteins that have a dimeric structure can also be more efficient in binding and neutralizing other molecules than the monomeric TACI or TACI fragment alone.

It would have been further obvious to one of ordinary skill in the art at the time of  
10 Applicants' invention assess the activation of TACI in a cell as measured by calcium influx because neutrokin- $\alpha$ -like effects of potential agonists and antagonists may be measured, for instance, by determining activity of a second messenger system following interaction of the candidate molecule with a cell or appropriate cell preparation, and when activated, the TACI protein stimulates the influx of calcium in lymphocytes.

15 The invention is prima facie obvious over the prior art.

Claims 15 and 25–26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gross in view of Bram and Yu as applied to claims 15, 25, and 35 above, and further in view of Nocka (U. S. Patent No. 5,525,708).

20 Gross in view of Bram and Yu teach forming a composition comprising TACI, neutrokin- $\alpha$ , and a test compound, assaying for the level of interaction of TACI with neutrokin- $\alpha$ , and identifying a test compound that affects the interaction with TACI and

neutrokin- $\alpha$ , wherein the neutrokin- $\alpha$  further comprises a Fc domain, as discussed above.

Gross in view of Bram and Yu do not teach forming a composition comprising TACI, neutrokin- $\alpha$ , and a test compound, assaying for the level of interaction of TACI with neutrokin- $\alpha$ , and identifying a test compound that affects the interaction with TACI and

5 neutrokin- $\alpha$ , wherein the neutrokin- $\alpha$  further comprises a leucine zipper domain.

Stabilized dimers with increased biological activity can also be produced through non-covalent means by the fusion with domains that readily form stable hetero- or homomeric multimers. An example would be to use the so called "Leucine zipper" domain which will self associate with another protein that contains a Leucine zipper domain. See Nocka column 7, lines  
10 42-47. Nocka does not teach forming a composition comprising TACI, neutrokin- $\alpha$ , and a test compound, assaying for the level of interaction of TACI with neutrokin- $\alpha$ , and identifying a test compound that affects the interaction with TACI and neutrokin- $\alpha$ , wherein the neutrokin- $\alpha$  further comprises a leucine zipper domain.

However, it would have been obvious to one of ordinary skill in the art at the time of  
15 Applicants' invention to form a composition comprising TACI, neutrokin- $\alpha$ , and a test compound, assay for the level of interaction of TACI with neutrokin- $\alpha$ , and identify a test compound that affects the interaction with TACI and neutrokin- $\alpha$ , wherein the neutrokin- $\alpha$  further comprises a Fc domain, as taught by Gross in view of Bram and Yu, and to modify that teaching by substituting a leucine zipper domain, as taught by Nocka, with a reasonable  
20 expectation of success. One of ordinary skill in the art would be motivated to make this combination because stabilized dimers with increased biological activity can also be produced through non-covalent means by the fusion with domains that readily form stable hetero- or

Art Unit: 1647

homomeric multimers, such as the so called "Leucine zipper" domain, which will self associate with another protein that contains a Leucine zipper domain.

The invention is prima facie obvious over the prior art.

5           Claims 15 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gross in view of Bram and Yu as applied to claim 15 above, and further in view of Creighton.

          Gross in view of Bram and Yu teach forming a composition comprising TACI, neurokine- $\alpha$ , and a test compound, assaying for the level of interaction of TACI with neurokine- $\alpha$ , and identifying a test compound that affects the interaction with TACI and  
10   neurokine- $\alpha$ , wherein the TACI, neurokine- $\alpha$ , and test compound are combined under appropriate conditions for a competitive inhibition assay, as discussed above. Gross in view of Bram and Yu do not expressly teach forming a composition comprising TACI, neurokine- $\alpha$ , and a test compound, assaying for the level of interaction of TACI with neurokine- $\alpha$ , and identifying a test compound that affects the interaction with TACI and neurokine- $\alpha$ , wherein the TACI,  
15   neurokine- $\alpha$ , and test compound are combined under appropriate conditions for a competitive inhibition assay, wherein the competitive inhibition assay comprises determining a dissociation constant of the interaction of TACI and neurokine- $\alpha$ .

          A competitive inhibition assay, as taught by Yu, implies or suggest determining a dissociation constant because the specificity of protein-ligand binding is determined by their  
20   relative affinities. The affinity between a protein and a ligand is measured by the association constant,  $K_a$ . However, the value of  $K_a$  has units of (concentration)<sup>-1</sup>, and it is often intuitively easier to consider the dissociation constant,  $K_d$ , which is the reciprocal of  $K_a$ . See Creighton,



Art Unit: 1647

pages 336-337. Creighton does not teach forming a composition comprising TACI, neutrokin- $\alpha$ , and a test compound, assaying for the level of interaction of TACI with neutrokin- $\alpha$ , and identifying a test compound that affects the interaction with TACI and neutrokin- $\alpha$ , wherein the TACI, neutrokin- $\alpha$ , and test compound are combined under appropriate conditions for a

5 competitive inhibition assay.

However, it would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to form a composition comprising TACI, neutrokin- $\alpha$ , and a test compound, assay for the level of interaction of TACI with neutrokin- $\alpha$ , and identify a test compound that affects the interaction with TACI and neutrokin- $\alpha$ , wherein the TACI,

10 neutrokin- $\alpha$ , and test compound are combined under appropriate conditions for a competitive inhibition assay, as taught by Gross in view of Bram and Yu, and to modify that teaching by determining a dissociation constant, as taught by Creighton, with a reasonable expectation of success. One of ordinary skill in the art would be motivated to make this competition because it is often intuitively easier to consider the dissociation constant,  $K_d$ , which is the reciprocal of  $K_a$ .

15 The invention is prima facie obvious over the prior art.

Claims 15 and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gross in view of Bram and Yu as applied to claim 15 above, and further in view of Alberts (1983) and Hu (U. S. Patent No. 5,932,540).

20 Gross in view of Bram and Yu teach a method of identifying a test compound that affects the interaction with TACI and neutrokin- $\alpha$ , as discussed above. Gross in view of Bram and Yu

Art Unit: 1647

do not expressly teach a method of identifying a test compound that affects the interaction with TACI and neutrokin- $\alpha$  wherein both TACI and neutrokin- $\alpha$  are soluble.

Alberts discloses that when the detergent is removed, solubilized membrane proteins usually become highly insoluble and precipitate (paragraph bridging pages 265-266). The naked  
5 membrane protein molecules tend to bury their hydrophobic regions by clustering together, forming large aggregates that precipitate from solution (page 266, Figure 6-19).

The prevention of aggregation is highly desirable. Aggregation of proteins results in a loss of activity. See Hu, column 11, full paragraph 3.

Alberts and Hu do not teach a method of identifying a test compound that affects the  
10 interaction with TACI and neutrokin- $\alpha$  wherein both TACI and neutrokin- $\alpha$  are soluble.

However, it would have been obvious to one of ordinary skill in the art at the time of Applicants' invention identify a test compound that affects the interaction with TACI and neutrokin- $\alpha$ , as taught by Gross in view of Bram and Yu, and to modify that teaching by making soluble fragments of TACI and neutrokin- $\alpha$ , with a reasonable expectation of success. One of  
15 ordinary skill in the art would be motivated to make this modification because aggregation results in a loss of activity.

The invention is prima facie obvious over the prior art.

Claims 15 and 17-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over  
20 Gross in view of Bram and Yu and further in view of Alberts (1983) and Hu (U. S. Patent No. 5,932,540) as applied to claims 15 and 17 above and further in view of Ullman (U. S. Patent No. 5,340,716).

Gross in view of Bram and Yu and further in view of Alberts and Hu teach a method of identifying a test compound that affects the interaction with TACI and neutrokin- $\alpha$ , wherein both TACI and neutrokin- $\alpha$  are soluble, as discussed above. Gross in view of Bram and Yu and further in view of Alberts and Hu do not teach a method of identifying a test compound that affects the interaction with TACI and neutrokin- $\alpha$  wherein both TACI and neutrokin- $\alpha$  are soluble, wherein both TACI and neutrokin- $\alpha$  are labeled.

Ullman teaches that in a receptor-ligand binding assay both the receptor and ligand can be labeled with different labels where the labels interact when in close proximity and the amount of ligand present affects the degree to which the labels interact (column 1, lines 45-49). Ullman does not teach a method of identifying a test compound that affects the interaction with TACI and neutrokin- $\alpha$  wherein both TACI and neutrokin- $\alpha$  are soluble.

However, it would have been obvious to one of ordinary skill in the art at the time of Applicants' invention to identify a test compound that affects the interaction with TACI and neutrokin- $\alpha$ , wherein both TACI and neutrokin- $\alpha$  are soluble, as taught by Gross in view of Bram and Yu and further in view of Alberts and Hu, and to modify that teaching by labeling both TACI and neutrokin- $\alpha$  with a reasonable expectation of success. One of ordinary skill in the art would be motivated to make this modification because any screening technique known in the art can be used to screen for TACI protein agonists or antagonists and it would have been obvious to one of ordinary skill in the art at the time of Applicants' invention that when both the receptor and ligand are labeled with different labels wherein the labels interact when in close proximity that the amount of label interaction would be a measure of the degree to which a potential agonists or antagonists affects the interaction of TACI with neutrokin- $\alpha$ .

Art Unit: 1647

The invention is prima facie obvious over the prior art.

***Conclusion***

No claims are allowable.

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20   
DAVID ROMEO  
PRIMARY EXAMINER  
ART UNIT 1647

DSR  
AUGUST 6, 2006